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## FAX TRANSMISSION

DATE 2/6/2004

NO. OF PAGES  
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4

## FROM

Name

tel

Karen Johnson for  
Mark Stirrat, Reg. No.  
50,756

949 852 7743

Our Ref. No. 701470.18

## TO

Name

company/firm

tel

Fax

Examiner Hae M. Hyeon USPTO, Group Art (571) 272 2093 (703) 872-9306 and  
Unit 2839 (571) 273-2093

## RE

Inventor: Hamm

U.S. Appln. S/N 10/039,627

Filed: 10/24/2001

For: CONNECTION APPARATUS FOR OPTICAL COHERENCE  
TOMOGRAPHY CATHETERS (as amended)

## MESSAGE

Dear Examiner Hyeon:

This fax letter serves as confirmation of our telephone conversation of February 5, 2004, wherein it was discussed that the title of the above-named application is wrong on the Notice of Allowance and the Issue Fee(s) Transmittal (see our Amendment dated 12/08/2003, received by the USPTO on 12/11/2003. A copy of the first page of that Amendment is attached for your convenience). The correct title of this invention should be -

**CONNECTION APPARATUS FOR OPTICAL COHERENCE TOMOGRAPHY  
CATHETERS**

C-M-A

IF YOU DO NOT RECEIVE ALL PAGES, PLEASE CALL KAREN JOHNSON AT 949 567 6700 AS SOON AS POSSIBLE.

notice to recipient

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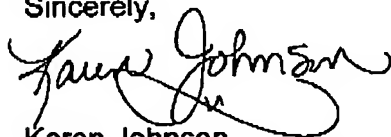
Examiner Hae M. Hyeon  
February 6, 2004  
Page 2

I have also attached copies of the Notice of Allowance and Fee(s) Due and Issue Fee(s) Transmittal, both of which have the incorrect title of the invention.

Please make this change in the title via either an Examiner's Amendment or, preferably, an Amended/Corrected Notice of Allowance and Issue Fee(s) Transmittal. The Applicants do not wish to change the title via a certificate of correction.

I look forward to hearing from you.

Sincerely,



Karen Johnson  
Legal Secretary to Mark Stirrat

*notice to recipient*

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Patent  
Orrick Docket No. 701470.18

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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FEB 06 2004

In re the Application of:

Mark A. HAMM et al.

Serial No.: 10/039,627

Filed: October 24, 2001

For: CONNECTION APPARATUS FOR  
OPTICAL COHERENCE  
TOMOGRAPHY CATHETERS  
(as amended herein)

Group Art Unit: 2839

Examiner: Hae M. Hyeon

OFFICIAL

AMENDMENT AND RESPONSE

Mail Stop NON-FEE AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated September 8, 2003, please enter the following  
amendments.

IN THE TITLE

Please delete the title and replace with Connection Apparatus for Optical Coherence  
Tomography Catheters.

CERTIFICATE OF MAILING  
(37 C.F.R. § 1.8(a))

I have reasonable basis to expect that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Mail Stop NON-FEE AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: December 8, 2003

*Karen Johnson*  
Karen Johnson



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22113-1450  
www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

34313 7590 01/23/2004  
ORRICK, HERRINGTON & SUTCLIFFE, LLP  
4 PARK PLAZA  
SUITE 1600  
IRVINE, CA 92614-2558

OFFICE OF PROSECUTION

Due Date 4/23/04

Action Item 1844 Fee Drawings

Resp Acty DEW

Docketed by 10m

Entered 12/28/04

EXAMINER

HYEON, HAE M

ART UNIT

PAPER NUMBER

2839

DATE MAILED: 01/23/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/039,627	10/24/2001	Mark A. Hamm	265/221	2532

TITLE OF INVENTION: OPTICAL CATHETER CONNECTOR

701470.18

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	04/23/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHT. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPO PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THE STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOI-85B (O AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

## HOW TO REPLY TO THIS NOTICE:

## I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status.  
See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issued on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed wh appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

34313 7590 01/23/2004

ORRICK, HERRINGTON & SUTCLIFFE, LLP  
 4 PARK PLAZA  
 SUITE 1600  
 IRVINE, CA 92614-2558

Note: A certificate of mailing can only be used for domestic mailings of Fee(s) Transmittal. This certificate cannot be used for any other accompany papers. Each additional paper, such as an assignment or formal drawing, m have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the Un States Postal Service with sufficient postage for first class mail in an envel addressed to the Mail Stop ISSUE FEE address above, or being facsim transmitted to the USPTO, on the date indicated below.

Depositor's na
Signat
(D)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/039,627	10/24/2001	Mark A. Hamm	265/221	2532

TITLE OF INVENTION: OPTICAL CATHETER CONNECTOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	04/23/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
HYEON, HAE M	2839	385-072000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 \_\_\_\_\_  
 2 \_\_\_\_\_  
 3 \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☐ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☐ Issue Fee  
☐ Publication Fee  
☐ Advance Order - # of Copies \_\_\_\_\_

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☐ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.